Helpful Reminder/Checklist for Requesting Export Control Review by ORIA

This tool is provided to offer you the researcher/department staff/research administrator with this at your finger tips/easy to use resource when thinking about whether certain conditions may be prohibited or require a license from the U.S. Government under which the export of information or technology related to the research activity is being undertaken.

1. If any of the following occur in contract language, please send a copy of the contract to ORIA for export review:

   - Export control language that states anything beyond general “compliance with export laws” (see troublesome clauses handout attached)
   - Foreign national participation restrictions
   - “U.S. persons only” language
   - Publication restrictions or pre-approval by sponsor (also see ASU Language that Requires ORIA Review, handout attached)
   - Publication delays beyond 90 days
   - Sensitive but unclassified (SBU)
   - Classified information or Security clause
   - Sponsor provided export controlled data
   - DFAR 252.204-7000 Disclosure of Information
   - DFAR 252.204-7008 or 7009 (2008) Export Controlled Items
   - ARL 52.205-4401 – Release of Information
   - ARL 52.004-4400 Foreign Nationals Performing Under Contract
   - AFMC 5352.227-9000 – Export Control Data Restrictions

2. Research involving the following topics should trigger an export consult with ORIA:

   - Firearms
   - Artillery (e.g. Guns, Flamethrowers, Rocket Launchers, Projectiles)
   - Ammunition
   - Launch Vehicles (guided missiles, mines)
   - Explosives
   - Vessels (ships, patrol vessels, landing craft)
• Tanks and Military Vehicles
• Aircraft (planes, helicopters, balloons, drones)
• Military Training Equipment
• Military Protective Personnel Equipment
• Dual Use Biologicals and Chemicals
• Semi-conductor Chips

3. Shipping involving the following items should trigger an export consult with ORIA:
   • Firearms
   • Artillery (e.g. Guns, Flamethrowers, Rocket Launchers, Projectiles)
   • Ammunition
   • Launch Vehicles (guided missiles, mines)
   • Explosives
   • Vessels (ships, patrol vessels, landing craft)
   • Tanks and Military Vehicles
   • Aircraft (planes, helicopters, balloons, drones)
   • Military Training Equipment
   • Military Protective Personnel Equipment
   • Dual Use Biologicals and Chemicals
   • Semi-conductor Chips
     or

   To embargoed countries on OFAC list:
   • Cuba
   • Iran
   • North Korea
   • Sudan
   • Syria